



PTO/SB/08B (08-00)

Approved for use through 10/31/2002. OMB 0651-003

Approved for use through 10/31/2002. OMB 0631-003
U.S. Patent & Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB number.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets necessary)</i>				COMPLETE IF KNOWN	
				Application Number	09/991,893
				Filing Date	11/5/2001
				First Named Inventor	Wenhua Lin
				Group Art Unit	2874
				Examiner Name	Jennifer Doan
SHEET	1	OF	1	Docket Number	LIGHT2180

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.*	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ^b
JD	2	Doerr et al., <i>Automatic Wavelength Channel-By-Channel Equalizer</i> , OFC 2000, pp. 227-229.	
JD	3	Doerr et al., <i>2x2 Wavelength-Selective Cross Connect Capable of Switching 128 Channels in Sets of 8</i> , OFC 2000, pp. 1-3.	
JD	4	Okyama et al., <i>Node Comprising Tunable Gratings</i> , Electronics Letters, 8 th May 1997, Vol. 33, No. 10, pp.881-882.	
JD	5	TAKIGUCHI et al., <i>Dispersion Compensation Using a Planar Lightwave Circuit Optical Equalizer</i> , Proc. IEEE Photonics Technology Lett. 6, No. 4, April 1994, pp 561-564.	
JD	6	Zimngibl et al., <i>Digitally Tunable Channel Dropping Filter/Equalizer Based on Waveguide Grating Router and Optical Amplifier Integration</i> , IEEE Photonics Technology Letters, Vol. 6, No. 4, April 1994, pp. 1-3.	

Examiner Signature	Jennifer Doan	Date Considered	5/20/04
--------------------	---------------	-----------------	---------

***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MP&P 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two letter-code (WIPO Standard ST.3).
⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the year of the document.

For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard St. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached.

Attachment to paper 0504